



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/824,997	04/02/2001	Gilbert Levesque	064731.0218

Baker Botts L.L.P.  
2001 Ross Avenue  
Dallas, TX 75201-2980

CONFIRMATION NO. 1332

## FORMALITIES LETTER



\*OC000000006061549\*

Date Mailed: 05/10/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

05/30/2001 GTEFFERA 00000025 09824997

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



ATTORNEY'S DOCKET  
064731.0218

PATENT APPLICATION

1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: LEVESQUE, et al.  
Serial No.: 09/824,997  
Filing Date: April 2, 2001  
Title: *Filtering Network Management Messages*

**BOX MISSING PARTS**

Assistant Commissioner for  
Patents  
Washington, D.C. 20231

Dear Sir:

**RESPONSE TO NOTICE TO FILE MISSING  
PARTS OF NONPROVISIONAL APPLICATION**

This is in response to the Notice to File Missing Parts of Nonprovisional Application -  
Filing Date Granted mailed May 10, 2001. Applicants submit herewith:

(a) A copy of the Notice to File Missing Parts of Nonprovisional Application -  
Filing Date Granted.

(b) An executed Declaration and Power of Attorney for the above-referenced  
patent application, which was filed April 2, 2001.

ATTORNEY DOCKET NO.  
064731.0218

PATENT APPLICATION  
09/824,997

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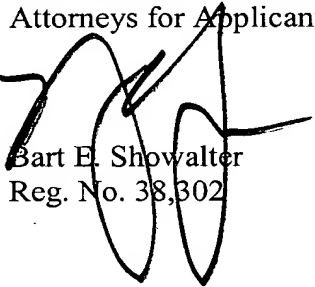
(c) A completed Assignment with an Assignment cover sheet for the above-referenced patent application. The recordal fee in the amount of \$40.00 is included herewith for the Assignment.

(d) A check in the amount of \$130.00 is enclosed for the surcharge for a non-small entity.

(e) The Commissioner is hereby authorized to charge any additional amount required or credit any overpayment to Deposit Account No. 02-0384 of BAKER BOTTS L.L.P.

Respectfully submitted,

BAKER BOTTS L.L.P.  
Attorneys for Applicants



Bart E. Showalter  
Reg. No. 38,302

Date:

May 23, 2001

Correspondence Address:

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

LEVESQUE, et al.

Serial No.

09/824,997

Date Filed:

April 2, 2001

Title:

*Filtering Network Management Messages*

**BOX MISSING PARTS**

Honorable Assistant Commissioner

For Patents

Washington, D.C. 20231

Dear Sir:

CERTIFICATE OF MAILING BY EXPRESS MAIL

I hereby certify that the attached Response to Notice to File Missing Parts of Nonprovisional Application, and firm checks in the amount of \$130.00 to cover the surcharge for a non-small entity, and \$40.00 assignment recordation fee, and this Certificate of Mailing are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on this 24th day of May, 2001 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*Willie Jiles*

Willie Jiles

Express Mail Receipt

No. EL 759190961 US

Attorney's Docket: 064731.0218